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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01493  
CPH/ld

In re Application of:  
Eric G. Lundquist, et al.

Serial No 10/779,931 : Group Art Unit: Not Yet Assigned

Filed: February 17, 2004 : Examiner: Not Yet Assigned

For: **POLYMERIC NANOPARTICLES IN CONSUMER PRODUCTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclose Statement  
List of Cited Art By Applicant (PTO Form 1449)  
Copy of Cited References  
Return Postcard

5/17/2004  
Date

Risa Dawson  
Signature



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith substitute for form 1449/PTO "Information Disclosure Statement by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

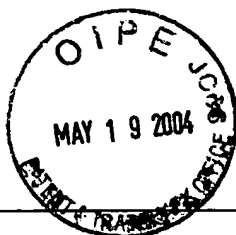
As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicant (s) have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

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May 17, 2004  
Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399



Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. <b>A01493</b>	Serial No. <b>10/779,931</b>
Applicant <b>Eric G. Lundquist</b>	
Filing Date <b>February 17, 2004</b>	Group <b>To be assigned</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	2002/0164297	11/7/2002	Ferrari, et al.		
	AB	2003/0059599	3/27/2003	Beckley, et al.		
	AC	2003/0229185	12/11/2003	Chen, et al.		
	AD	2003/0232918	12/13/2003	Amick, et al.		
	AE	2004/0063817	4/1/2004	Hendy, et al.		6/13/2003
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL	WO 01/43859	6/21/2001	PCT		No
	AM	EP 1 146 057	10/17/2001	EP		
	AN					
	AO					
	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

	AR	
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.